Champion Advisors LLC
Wealth Management

Risk Tolerance Questionnaire

Name: _____
Date: _____

Primary Risk Assessment occurs through detailed exploratory conversations and full disclosures from client regarding financial situation. This questionnaire simply serves as a tool to further assist this process.

For "joint" clients, please have each party complete a questionnaire separately.

1. What type of investor do you consider yourself?

☐1 ☐2 ☐3 ☐4 ☐5 ☐6 ☐7 ☐8 ☐9 ☐10

Conservative Moderate Aggressive

2. Based on my experience of investing in stock and bond portfolios, I would consider myself:

☐1 ☐2 ☐3 ☐4 ☐5 ☐6 ☐7 ☐8 ☐9 ☐10

Very Inexperienced Somewhat Experienced Very Experienced

3. I consider myself knowledgeable about economic issues and personal investing:

☒1 ☐2 ☐3 ☐4 ☐5 ☐6 ☐7 ☐8 ☐9 ☐10

Strongly Disagree Somewhat Agree Strongly Agree

4. Rank the following investment objectives in order of importance:
(1 most important, 3 least important)

Income: _____ Growth: _____ Safety: _____

5. My investment time horizon is:

☐ 0-3 years ☐ 3-5 years ☐ 5-10 years ☐ 10-20 years ☐ 20+ years

6. I plan to retire in:

☐ 0-3 years ☐ 3-5 years ☐ 5-10 years ☐ 10-20 years ☐ 20+ years

7. I have adequate liquid cash positions to cover _____ month(s) of personal expenses:

☐ 1 month ☐ 3 months ☐ 6 months ☐ 12 months ☐ 12+ months

8. My income stream for the foreseeable future is:

☐ Very Stable ☐ Somewhat Stable

☐ Not Very Stable ☐ Not Applicable

9. I plan on withdrawing income from assets under management earmarked for retirement in:

☐ 0-3 years ☐ 3-5 years ☐ 5-10 years ☐ 10-20 years ☐ 20+ years

10. The chart below shows the greatest one-year gain and loss per $100,000 on three different hypothetical portfolios. Given the potential gain or loss in any one year, I would invest in:

	☐ **Portfolio A**		☐ **Portfolio B**		☐ **Portfolio C**	
MAX GAIN	$6,000	6.0%	$19,300	19.3%	$42,400	42.4%
MAX LOSS	-$1,700	-1.7%	-$10,300	-10.3%	-$33,900	-33.9%

11. If an investment loses money over the course of a year, I can easily resist the temptation to sell it:

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

Strongly Disagree Somewhat Agree Strongly Agree

12. Do you agree or disagree with the following comment?

"*I am willing to risk significant declines in the value of my investment if it will increase the likelihood of achieving significantly higher long-term returns*."

☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☐ 7 ☐ 8 ☐ 9 ☐ 10

Strongly Disagree Somewhat Agree Strongly Agree

13. Which situation best describes your return expectations from your portfolio?

☐ I just want to preserve my investment capital.
☐ My return should keep pace with inflation (minimum volatility).
☐ My return should be slightly more than inflation (some volatility).
☐ My return should significantly exceed inflation (I accept volatility).

14. Please choose the phrase that best describes your current state. "*These assets are:*"

☐ Critical to my current and future financial well-being; I have few other assets and/or few sources of current and future income.
☐ A significant portion of my wealth; I have other assets and additional sources of current and future income.
☐ Fairly small in relation to my overall wealth and my other sources of current and future income.

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Questions and Comments: